

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln.

Vaikko P. Allen II et al.

of:

Serial

10/664,376

No:

Filed:

09/17/03

Entitled:

APPARATUS FOR SEPARATING FLOATING

AND NON-FLOATING PARTICULATE IN A

FLUID STREAM

Examiner:

Art Unit: 1724

Attorney Dkt: VOR-002

Paper No.: 2

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted in accordance with the duty of disclosure under 37 C.F.R. 1.56.

USPTO Form SB-08 (two sheets) and, for the Examiner's convenience, copies of the German and Japanese references cited in Form SB-08, are included herewith. Pursuant to current rules, no copies of the listed US patent references have been provided. Applicants have no translations of the foreign references. Both foreign references have been cited based on the drawings, which appear to disclose particulate separation for water treatment systems.

Pursuant to 37 C.F.R. § 1.97, Applicants state that each item of information contained in this Information Disclosure Statement has been cited prior to receipt of the first office action therefore, no fee is required.

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

Chris A. Caseiro Reg. No. 34,304

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# **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on August 20, 2004.

Typed or printed name: Chris A. Caseiro

PTO/SB/08A (08-03) Approved for use through 07/31/2008, OMB 0851-0031

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE

Complete if Known **Application Number** 10/664,376 Filing Date 9/17/2003 First Named Inventor Vaikko Allen Art Unit 1724

#### STATEMENT BY APPLICANT (Use as many sheets as necessary)

**Examiner Name** Attorney Docket Number VOR-002

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (Fibrose)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
			***************************************	Apparent of Cago Doctoring	
		<sup>US-</sup> 6432298	08-13-2002	Carvalko, Jr.	
		<sup>US-</sup> 6350374	02-26-2002	Stever et al.	
		US- 6337016	01-08-2002	Alper	
		<sup>US-</sup> 6315897	11-13-2001	Maxwell	
		<sup>US-</sup> 6264835	07-24-2001	Pank	
		US- 6241881	06-05-2001	Pezzaniti	
		US- 6183633	02-06-2001	Phillips	
		us- 6086756	07-11-2000	Roy	
		<sup>US-</sup> 6077448	06-20-2000	Tran-Quoc-Nam et al.	
		US- 6068765	05-30-2000	Monteith	
		US- 6062767	05-16-2000	Kizhnerman et al.	
		<sup>US-</sup> 5928524	07-27-1999	Casola	
		US- 5858252	01-12-1999	Darcy	
•		US- 5759415	06-02-1998	Adams	
		US- 5753115	05-19-1998	Monteith	
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		US- 5531888	07-02-1996	Geiger et al.	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Π
		Country Code <sup>2</sup> "Number <sup>4</sup> "Kind Code <sup>8</sup> (# known)		,,		Te
		JP55-114313	03-09-1980			
		DE3305344	09-06-1984			
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Examiner	Date	
Signature	Considered	1
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through clation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the petent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Sheet 2

Complete if Known **Application Number** 

1724

10/664,376 Filing Date 9/17/2003 First Named Inventor Vaikko Allen

Art Unit Examiner Name

STATEMENT BY APPLICANT (Use as many sheets as necessary)

INFORMATION DISCLOSURE

Afterney Docket Number VOR-002

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initiats*	Cile No.	Number-Kind Code <sup>2 (Firema)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5498331	03-12-1996	Monteith	
		<sup>US-</sup> 5433845	07-18-1995	Greene et al.	
		US- 5413706	05-09-1995	Graves	
		US- 4985148	01-15-1991	Monteith	
		<sup>US-</sup> 4422931	12-27-1983	Wolde-Michael	
		US- 4363731	12-14-1982	Filippi	
		US- 4319998	03-16-1982	Anderson	
		us- 3642129	02-15-1972	McDaniel et al.	
		US- 6524473	02-25-2003	Williamson	
		us- 5788848	08-04-1998	Blanche et al.	
•		<sup>US-</sup> 6511595	01-28-2003	Crompton et al.	
		<sup>US-</sup> 6581783	06-24-2003	Blanche et al.	
		US- 5759415	06-02-1998	Adams	
		US-			
		US-	<b>-</b>		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ°	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>8</sup> (# known)	MM-DD-YYYY				
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\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant, "Applicant's unique citation designation number (optional)." See Kinds Codes of USPTO Patent Occuments at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible." Applicant is to place a check mark here if English language

the appropriate symbols as indicated on the document under WiPO standard ST.16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

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